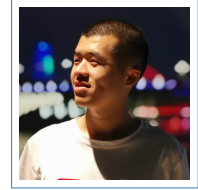


# Zhiyu He

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🌐 [iwin-fins.com/sciencex\\_teams/zhiyu-he/](http://iwin-fins.com/sciencex_teams/zhiyu-he/)



## Education and Experience

2019–2022 **M.S. Student, Control Science and Engineering.**

(expected) Department of Automation, Shanghai Jiao Tong University  
Advisor: [Xinping Guan](#); (co)Advisor: [Jianping He](#)

2021 **Visiting Student.**

Automatic Control Laboratory (IfA), ETH Zürich  
Advisor: [Florian Dörfler](#); (co)Advisor: [Saverio Bolognani](#)

2015–2019 **B.Eng., Automation.**

Department of Automation & Zhiyuan College, Shanghai Jiao Tong University  
*Zhiyuan Honors Program of Engineering (Top 5%)*  
Average Score: 92/100, Ranking: 1/91

## Publications and Preprints

*Under Review*

- **Zhiyu He**, Jianping He, Cailian Chen, Xinping Guan, “Distributed Nonconvex Optimization: Oracle-free Iterations and Globally Optimal Solution”, ArXiv:2008.00252, submitted to IEEE Transactions on Automatic Control, second round of review. [\[pdf\]](#)
- **Zhiyu He**, Jianping He, Cailian Chen, Xinping Guan, “Dependable Distributed Nonconvex Optimization via Polynomial Approximation”, ArXiv:2101.06127, submitted to IEEE Transactions on Automatic Control, second round of review. [\[pdf\]](#)
- **Zhiyu He**, Saverio Bolognani, Jianping He, Florian Dörfler, Xinping Guan, “Model-Free Nonlinear Feedback Optimization”, ArXiv:2201.02395, submitted to IEEE Transactions on Automatic Control, first round of review. [\[pdf\]](#)

*Published or Accepted*

- **Zhiyu He**, Jianping He, Cailian Chen, Xinping Guan, “CPCA: A Chebyshev Proxy and Consensus based Algorithm for General Distributed Optimization”, American Control Conference (ACC), 2020. [\[pdf\]](#)
- **Zhiyu He**, Jianping He, Cailian Chen, Xinping Guan, “Constrained Distributed Nonconvex Optimization over Time-varying Directed Graphs”, IEEE Conference on Decision and Control (CDC), 2020. [\[pdf\]](#)
- Wenzhe Zheng, **Zhiyu He**, Jianping He, Chengcheng Zhao, “Accurate Resilient Average Consensus via Detection and Compensation.”, IEEE Conference on Decision and Control (CDC), 2021. [\[pdf\]](#)

## Selected Honors and Awards

- 2020 & 2018 China National Scholarship  
&2016
- 2022 & 2019 Outstanding Graduate of Shanghai
- 2019 Zhiyuan Honor Degree of B.Eng. (52/3500 recipients in class of 2019)
- 2019 Zhiyuan Outstanding Student Scholarship (30/3500 recipients in class of 2019)
- 2017 Samsung Scholarship
- 2017 A-class Scholarship for Excellent Academic Performance (Top 1% in SJTU)

## Research Experience

- July. 2021 - **Model-Free Nonlinear Feedback Optimization**  
 Dec. 2021 *Supervisor: Prof. Florian Dörfler and Dr. Saverio Bolognani, Automatic Control Laboratory (IfA), ETH Zürich*
- Develop a model-free feedback optimization controller to drive systems to efficient operating points of nonconvex objectives by utilizing real-time measurements of system outputs and updating control inputs based on gradient estimates.
  - Characterize the stability and the optimality of the closed-loop interconnection of the stable nonlinear dynamical system and the proposed controller.
  - Extend the design to handle general constraints that affect multiple inputs with Frank-Wolfe type updates.
- Mar. 2020 - **Dependable Distributed Nonconvex Optimization via Polynomial Approximation**  
 Jan. 2021 *Supervisor: Prof. Jianping He and Prof. Xinping Guan, Dept. of Automation, SJTU*
- Proposed an approximation-based algorithm to solve distributed optimization problems with nonconvex univariate objectives and set constraints, pursuing the guarantee of privacy preservation and robustness against network imperfections.
  - Developed a new privacy-preserving mechanism with novel designs and improved performance to prevent sensitive local objective functions from being leaked, and analyzed the effect of privacy preservation through  $(\alpha, \beta)$ -data-privacy.
  - Addressed the robustness issue in face of various network imperfections, and proved that the proposed algorithm keeps effective and accurate when such imperfections are present.
- Nov. 2018 - **Polynomial-approximation-based Algorithm for Distributed Optimization**  
 July. 2020 *Supervisor: Prof. Jianping He and Prof. Xinping Guan, Dept. of Automation, SJTU*
- Proposed a novel distributed optimization algorithm named CPCA, based on Chebyshev polynomial approximation, consensus and polynomial optimization via semidefinite programming.
  - Proved that this algorithm obtains  $\epsilon$  globally optimal solutions of nonconvex problems, where  $\epsilon$  is any arbitrarily small given error tolerance, and showed that it achieves distributed termination once the precision requirement is met.
  - Provided explicit orders of complexities of communication and zeroth-order oracle queries of the proposed algorithm.
  - Pointed out that the notion of combining function approximation and consensus to deal with problems related to networked systems is of independent interest.

Febr. 2017 - **Predicting Protein Contact Maps Through Deep Learning Models**

Febr. 2018 *Supervisor: Prof. Hongbin Shen, Dept. of Automation, SJTU*

- Extracted useful features from the sequence information of proteins.
- Developed three models for predicting contact maps by using three both distinct and interrelated network structures of deep learning.
- Conducted extensive evaluations and comparison of the developed models.
- Modeled the spatial structures of specific proteins in the test sets based on the predicted contact maps.

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## Joint Work and Supervision

Dec. 2020 - **Accurate Resilient Average Consensus via Detection and Compensation**

Mar. 2021 *With Wenzhe Zheng, first-year master student at Dept. of Automation, SJTU*

- Addressed the problem of resilient average consensus for multi-agent systems with misbehaving nodes by detecting misbehaviors via two-hop information, mitigating corresponding impact and achieving accurate average consensus.

Mar. 2021 - **Resilient Approximation-based Distributed Optimization**

Now *With Yilin Zhang, junior student at Joint Institute, SJTU*

- Make the approximation-based distributed optimization algorithm resilient to adversaries, and characterize the sub-optimality by analyzing the sensitivity of the proposed algorithm.

Apr. 2021 - **Inference Attack in Privacy-Preserving Distributed Optimization**

Now *With Jisheng Xu, junior student at Dept. of Automation, SJTU*

- Infer the sensitive local information of the target agent based on noise-perturbed states and gradients communicated in privacy-preserving distributed optimization algorithms.

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## Invited Talk

Dec. 2021 “Non-Convex Optimization Algorithms in Network Systems”, The 1<sup>st</sup> Distributed Control, Optimization, and Security Zhizhen Academic Forum For Postgraduates (Online), Shanghai Jiao Tong University, China. [[video \(in Chinese\)](#)]

July 2020 “Non-Convex Distributed Optimization: Novel ALgorithmic Design and Arbitrary Precise Solution”, Intelligent Control Forum (Online), Peking University, China. [[slides](#)]

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## Additional Information

### *Skills and Languages*

Programming MATLAB, Python, C++

Languages Chinese, English (TOEFL:114, GRE:328+3.5)

### *Extracurricular Activities*

2019 & 2018  
& 2017 Volunteers of Shanghai International Marathon

Sept. 2015 - Member of the Press Office, Publicity Center of Youth League Committee, SEIEE,  
Oct. 2017 SJTU

2016 Student Librarian of SJTU